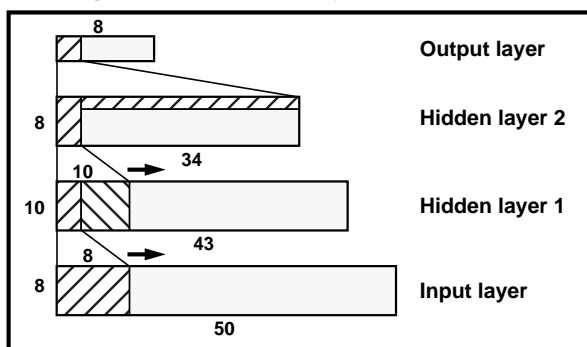


set of manually-classified gestures using a modified backpropagation algorithm [3]. The output neuron with the highest activation level determines the recognized gesture..

Figure 1: The Time Delay Neural Network



Our gesture recognizer achieves 98.9% recognition rate on the training data set (640 samples) and 98.8% on an independent test set (160 samples).

### SPEECH PROCESSOR

The speech processing subsystem of our multi-modal text editor consists of a word spotter and a semantic-fragment parser.

#### Word Spotter

This initial version of the text editor requires only a small vocabulary, hence a word spotter was deemed more appropriate than a full speech recognition system. Instead of trying to recognize all parts of an input utterance, the word spotter only signals occurrences of predefined keywords within the utterance. The word spotter used in our system was developed at Carnegie Mellon by Zeppenfeld, based on the Multi-State Time Delay Neural Network (MS-TDNN), an extension of the standard TDNN architecture. More details on architecture, implementation, and performance evaluation of the word spotter can be found in [5].

For our editing task, the word spotter was trained on a single-speaker speech database that includes about 45 instances of each of 11 keywords: **delete**, **move**, **transpose**, **paste**, **split**, **character**, **word**, **line**, **sentence**, **paragraph**, and **selection**. The word spotter achieves a recognition performance of 95.9% on the training data set.

#### Semantic-Fragment Parser

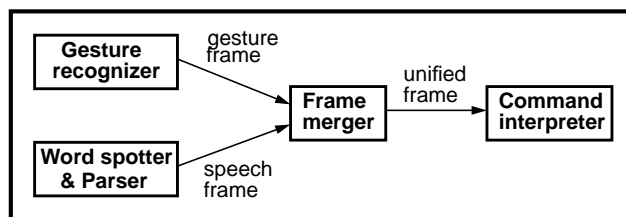
The output of the word spotter is a text string consisting of keywords occurring in the input utterance. This can be regarded as a machine-transcribed version of the input in which only essential words are retained. For instance, “**Please delete this word for me**” produces “**delete word**”. This simplified version is then parsed using a semantic-fragment grammar. The parser, developed by Ward [4], matches fragments of the input text against predefined templates to find semantically useful parts of the text. It then creates a frame consisting of slots representing various components of a plausible semantic interpretation, and fills in any slot it can using semantic fragments found in the input sentence.

In the case of our text editor, the grammar defines two slots: *action* and *scope*. For the above example, the sentence “**delete word**” will cause the *action* slot to be filled with **delete**, and the *scope* slot to be filled with **word**.

### JOINT INTERPRETATION OF GESTURE AND SPEECH

Figure 2 shows a block diagram of the interpreter.

Figure 2: Multi-Modal Interpretation



We based the interpretation of multi-modal inputs on frames. As explained above, a frame consists of slots representing parts of an interpretation. In our case, there are three slots named *action*, *source-scope*, and *destination-scope* (the destination is used only for the **move** command). Within each *scope* slot are subslots named *type* and *unit*. The possible scope types are: **point** (specified by coordinates), **box** (specified by coordinates of opposite corners), and **selection** (i.e. currently highlighted text). The *unit* subslot specifies the unit of text to be operated on, e.g. **character** or **word**.

Consider an example in which a user draws a circle and says “**Please delete this word**”. The gesture-processing subsystem recognizes the circle and fills in the coordinates of the box scope specified by the circle in the gesture frame. The word spotter produces “**delete word**”, which causes the parser to fill the *action* slot with **delete** and the *unit* subslot of *source-scope* with **word**. The frame merger then produces a unified frame in which *action*=**delete**, *source-scope* has *unit*=**word** and *type*=**box** with coordinates as specified by the drawn circle. From this the command interpreter constructs an editing command to delete the word circled by the user.

One important advantage of this frame-based approach is its flexibility, which will facilitate the integration of more than two modalities. All we have to do is define a general frame for interpretation and specify the ways in which slots can be filled in by each input modality. In a general implementation, it is possible that the slots may be filled in different ways, and performing a search to find the best merging would be superior.

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# A MULTI-MODAL HUMAN-COMPUTER INTERFACE: COMBINATION OF GESTURE AND SPEECH RECOGNITION

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## ABSTRACT

Multi-modal interfaces can achieve more natural and effective human-computer interaction by integrating a variety of signals, or modalities, by which humans usually convey information. The integration of multiple input modalities permits greater expressiveness from complementary information sources, and greater reliability due to redundancies across modalities.

This paper describes a text editor developed at Carnegie Mellon, featuring a multi-modal interface that allows users to manipulate text using a combination of speech and pen-based gestures. The implementation of this multi-modal text editor also illustrates a framework on which more general joint interpretation of multiple modalities can be based.

**KEYWORDS:** Multiple modalities, multi-modal interface, gesture recognition, word spotting, semantic-fragment grammar, neural networks.

## INTRODUCTION

Human beings communicate with each other using a variety of signals such as speech, pen, gesture, eye-contact, facial expression, etc.; it is this combination of different modalities that gives human communication a naturalness and flexibility presently unequaled in human-computer interaction. A user study at Carnegie Mellon University [2] has shown that in interacting with computer systems, people prefer a combination of speech and gestures over speech or gestures alone. Different input modalities can complement each other, allowing greater expressiveness than each modality on its own. For example, in a text-editing session a user may delete a paragraph simply by circling the text and saying "Delete" at the same time. The modalities can also enhance each other when similar concepts are expressed in many different ways; this redundancy can be exploited to increase reliability. Noise may hamper the recognition of a spoken "Delete" command, but the system can recover if it realizes that the user also drew a cross on top of some text to emphasize the "Delete" concept. Such a system capable of accepting and integrating information from multiple sources would be very likely to gain user acceptance because of its flexibility and natural feel.









Some of the human communication modalities (e.g. speech) have been extensively investigated, but mostly in isolation.

Although researchers have been aware of the advantages of integrating multiple modalities for some time, practical implementations of multi-modal systems have been slow to emerge because of a lack of understanding of how to combine the different input signals to achieve maximum joint benefit. In the present paper, we describe a text editor developed at Carnegie Mellon, capable of recognizing gestural and speech inputs, and combining these information sources to determine the action to carry out. This joint interpretation is performed using a flexible frame-based approach suitable for general multi-modal semantic interpretation.

## GESTURE RECOGNIZER

In the context of our editing task, a gesture is defined to be any symbol or mark drawn using a stylus on a digitizing tablet. Our editor currently supports 8 gestures (see Table 1).

Table 1: Text-Editing Gestures

	Select		Begin selection
	Delete		End selection
	Delete		Transpose
	Paste		Split line

## Input Representation and Preprocessing

We use a temporal representation of gestures, i.e. a sequence of coordinates tracking the stylus as it moves over the tablet's surface, as opposed to a static bitmapped representation of the shape of the gesture. This dynamic representation was motivated by its successful use in handwritten character recognition [1]. Results of experiments described in that work suggest that the time-sequential signal contains more information relevant to classification than the static image, leading to better performance.

In our current implementation, the stream of data from the digitizing tablet goes through a preprocessing phase patterned after the one described in [1], consisting of normalizing and resampling the coordinates to eliminate differences in size and drawing speed, and extracting local geometric information such as the direction of pen movement and the curvature of the trajectory. These features are believed to hold discriminatory information that could help in the recognition process.

## Gesture Classification Using Neural Networks

We use a Time Delay Neural Network (TDNN) (see Figure 1) to classify each preprocessed time-sequential signal as a gesture among the predefined set of 8 gestures. Each gesture in the set is represented by an output neuron. Details on the workings of the TDNN can be found in [3]. The network is trained on a

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